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ATTORNEY DOCKET NO.: 2003118-0001

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Schwabacher, *et al.* Examiner:  
Serial No: 09/535,300 Art Unit: 1643  
Filing Date: March 24, 2000  
Title: ONE-DIMENSIONAL ARRAYS ON OPTICAL FIBERS

Commissioner of Patents and Trademarks  
Washington, DC 20231  
ATTENTION: BOX MISSING PARTS



Sir:

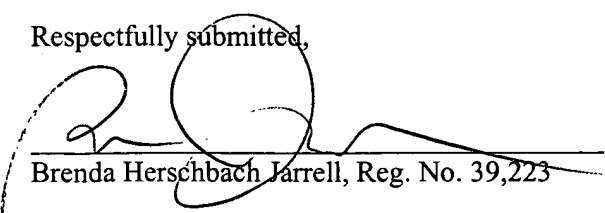
TRANSMITTAL LETTER

Enclosed are the following documents:

1. Copy of Notice To File Missing Parts of Application
2. Establishing Right of Assignee to Take Action
3. Appointment of Attorney
4. Declaration
5. Assignment
6. Small Entity Statement (WiSys) – Nonprofit Organization
7. Check in the amount of \$65.00
8. Appointment of Associate Attorney/Agent 37 CFR 1.34(b)
9. Return Postcard.

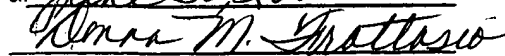
If any additional fees are required to be paid or if any overpayment has been made, please charge same to Deposit Account No. 03-1721.

Respectfully submitted,

  
Brenda Herschbach Jarrell, Reg. No. 39,223

CHOATE, HALL & STEWART  
Exchange Place  
53 State Street  
Boston, MA 02109  
(617) 248-5000  
Dated: June 30, 2000  
Exchange.3142235.1

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner For Patents, Washington, D.C. 20231 on June 30, 2000



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ATTORNEY'S DOCKET NUMBER: 2002303-0001

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant: Schwabacher *et al.*  
Serial Number: 09/535,300  
Filing Date: March 24, 2000  
Title: ONE-DIMENSIONAL ARRAYS ON OPTICAL FIBERS

Assistant Commissioner for Patents  
Washington, DC 20231



Sir:

**ESTABLISHING RIGHT OF ASSIGNEE TO TAKE ACTION**  
**(37 CFR 3.73(b))**

The assignee of the entire right, title and interest of inventors the University of Wisconsin-Milwaukee, hereby seeks to take action in the Patent and Trademark Office in the above matter.

Ownership by the assignee is established as follows:


\_\_\_\_\_ documents already recorded in the PTO on \_\_\_\_\_.

Reel \_\_\_\_\_ Frame \_\_\_\_\_ ; and

X  documents separately submitted for recordal to the PTO (a copy of these documents is attached).

STATEMENT

I, a person authorized to sign on behalf of the assignee, have reviewed the evidentiary documents referred to above and certify that, to the best of my knowledge and belief, title is mine/ours as assignees who seek to take further action.


  
\_\_\_\_\_  
Signature of Authorized Person

Carl E. Gulbrandsen  
\_\_\_\_\_  
Name of Authorized Person

President  
\_\_\_\_\_  
Title of Authorized Person



Respectfully submitted,

  
\_\_\_\_\_  
Brenda Herschbach Jarrell  
Reg. No. 39,223

Choate, Hall & Stewart  
Exchange Place  
53 State Street  
Boston, MA 02109  
(617) 248-5000

Date: June 30, 2000

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner For Patents, Washington, D.C. 20231 on June 30 2000

Donna M. Grattasio

## FORMALITIES LETTER

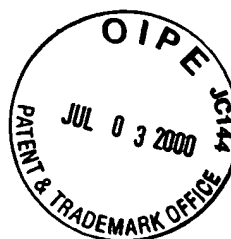


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UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark OfficeAddress: COMMISSIONER OF PATENT AND TRADEMARKS  
Washington, D.C. 20231

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/535,300	03/24/2000	Alan W. Schwabacher	

Karoline K Shair  
Choate Hall and Stewart  
Exchange Place  
53 State Street  
Boston, MA 02109-2891



Date Mailed: 06/12/2000

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- Small Entity Statement is unsigned.
- **The balance due by applicant is \$ 130.**

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 1 - ATTORNEY/APPLICANT COPY

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